

Managers' Internal Control Program

COMNAVCRUITCOMINST 5200.1

Control Assessment Documentation

HQ MIC Managers' Control Assessment

Enter from Self Assessment, Inspection and Risk Assessments											
Management Control Currently in Place (e.g Self Assessment Control Number and Function/Process/Program)		Enter Action Department with Cognizance over Internal Control	Date of Self Assessment Completed	Date of Inspection Completed	Date Risk Assessment Completed	Objective	e.g. Self Assessment, Inspection, or Risk Assessment	Describe test of controls and new controls established	Enter from Audit ReportDetermine whether recommendations were made or if corrective actions were set in place. Answer "None" if no recommendations were made. If recommendations were made, enter Recommendations. If not completed, enter "Recommendation: " and then enter "Corrective Action Ongoing".	What is risk type (control) and level? This is based on MIC Managers' Discretion.	
Control Number	Function/Process/Program	Department	Date	Date	Date	Control Test Objective	Description of Design Test	Description of Test	Recommendation(s)	Corrective Action	Risk Level - High, Moderate, or Low
FM-1	Building Management	N42 - Facilities Division	5/15/2008		Scheduled to be completed six months from last action	Assess Facilities Management Program and value to mission of NRC.	Self-Assessment	Assessed controls using a range of tests including, but not limited to, sampling, file review, observation, and simulation.	Continue to keep tight control of inventory in order to support the commands recruiting mission.	None	Moderate due to rotation of personnel.
052-08	Building Management	N42 - Facilities Division		7/25/2008		Verify current list of names of the Personal Property Manager (PPM).	Inspection	Assessed controls using a range of tests including, but not limited to, sampling, file review, observation, and simulation.	052-08 Recommend that CNRC determine who will serve as Responsible Officers (RO), designate in writing, and provide the list of names of the Personal Property Manager (PPM).	Concur. Action complete AUG 2008.	Moderate due to rotation of personnel.